

NATIONAL CONFERENCE ON PUBLIC EMPLOYEE RETIREMENT SYSTEMS

CODE OF CONDUCT FOR PUBLIC PENSION SERVICE PROVIDERS

Companies, firms, and other entities that provide services and products to public sector pension plans must:

1. Act in a professional and ethical manner at all times in dealings with public plan clients.
2. Act for the benefit of public plan clients.
3. Act with independence and objectivity.
4. Fully disclose to public plan clients conflicts of interest that arise that may impair the ability to act independently or objectively.
5. Act with reasonable care, skill, competence, and diligence when engaging in professional activities.
6. Communicate with public plan clients in a timely and accurate manner.
7. Uphold the applicable law, rules, and regulations governing your sector and profession.
8. Fully disclose to public plan clients all fees charged for the products or services provided to said client.
9. Not advocate for the diminishment of public defined benefit plans.
10. Fully disclose all contributions made to entities enumerated in Schedule A that advocate for the diminishment of public defined benefit plans.

CERTIFICATION OF SERVICE PROVIDER

The undersigned acknowledges receipt of the NCPERS Code of Conduct for Public Pension Service Providers and certifies that it agrees to abide by the provisions of the Code.

Signed: _____

Print Name: _____

Print Title: _____

Print Company: _____

Date: _____



The Voice for Public Pensions